

FINSA Client information

Based on the legal requirements of Art. 8ff. of the Financial Services Act (FINSA), we would like to supply you with this information sheet which provides an overview of JTS Investments AG (hereinafter referred to as «JTS») and its services.

A. Company information

Address

Aeschenvorstadt 57
4051 Basel
+41 61 271 13 63
info@jts-investments.ch
www.jts-investments.ch

The financial institution was established in 2016.

Supervisory authority and audit firm

With the new Financial Institutions Act (FinIA), all financial institutions will in future need a licence from Swiss Financial Market Supervisory Authority (FINMA) to carry out their professional activities as asset managers.

JTS holds the required authorization from FINMA since May 25, 2022 and is supervised by the supervisory organization AOOB.

JTS is audited and reviewed by the auditing company Caprev Wirtschaftsprüfung und -beratung AG both in terms of supervisory law and in terms of obligations.

The address of AOOB and Caprev Wirtschaftsprüfung und -beratung AG can be found below

AOOB - Schweizerische Aktiengesellschaft für Aufsicht
Clausiusstrasse 50
8006 Zürich
+41 44 215 98 98
info@aob.ch
www.aob.ch

Caprev Wirtschaftsprüfung und -beratung AG
Industriestrasse 47
6300 Zug
+41 41 761 92 45
info@caprev.ch
www.caprev.ch

Ombudsman

JTS is affiliated with the independent ombudsman OFS, which is recognized by the Federal Department of Finance. Disputes concerning legal claims between the customer and JTS should be settled by an ombudsman's office, if possible, within the framework of a mediation procedure. The address of OFS is stated below.

OFS Ombud Finanzen Schweiz
Rue du Conseil Général 10
1205 Genf
+41 22 808 04 51
contact@ombudfinance.ch
www.ombudfinance.ch

B. Information on the offered financial services

JTS provides asset management services and execution-only services to its clients.

JTS does not guarantee any yield nor performance of investment activities. The investment activity can therefore lead to an appreciation in value and to a depreciation in value.

JTS has the necessary licenses to perform the above services.

C. Client segmentation

Financial service providers are required to classify their customers into a client segmentation as legally established. The Financial Services Act provides for «retail customers», «professional customers» and «institutional customers» segments. For each customer, a customer classification is determined within the framework of the cooperation with JTS. Subject to certain conditions, the customer may change the customer classification by opting in or opting out.

D. Information on risks and costs

General risks associated with financial instruments transactions

The asset management services and execution-only services involve financial risks. JTS informs all clients about the «Risks associated with Financial Instruments Transactions» brochure prior to the execution of the contract. This brochure can be viewed on the homepage www.swissbanking.org.

Clients of JTS may contact their client advisor at any time if they have any further questions.

Risks associated with the offered services

For a description of the various risks that may arise from the investment strategy for clients' assets, please refer to the relevant asset management agreements and execution-only agreements.

Information on costs

A fee is charged for the services rendered, which is usually calculated on the assets under management and/or on a performance basis. For more detailed information, please refer to the relevant asset management agreements or execution-only agreements.

E. Information about relationships with third parties

In connection with the financial services offered by JTS, economic payments may be made to third parties. The acceptance of payments from third parties and their treatment are regulated in detail and comprehensively in the respective asset management contracts or execution-only agreements.

F. Information on the market offer considered

JTS basically follows an «open universe approach» and tries to make the best possible choice for the client when selecting financial instruments. JTS's own collective investments can - where appropriate - be used in the asset management mandates.